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/3	TRATE IN	

TRANSMITTAL FORM			Application No.	10/747,933	
			Filing Date	December 29, 2003	
(to be used for all correspondence after initial filing)		First Named Inventor	Kwang Ryong Oh		
			Art Unit		
			Examiner Name		
Total Number of i	Pages in This Submissio	n 11	Attorney Docket Number	5882P071	
	ENCLO	SURES (chec	k all that apply)		
Fee Transmittal	Form	Drawing(s)	1	After Allowance Communication to TC	
Fee Attac	hed	Licensing-re	elated Papers	Appeal Communication to Board of Appeals and Interferences	
Amendment / Re	esponse	Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)	
After Fina	ıl /declaration(s)	Petition to C Provisional	Convert a Application	Proprietary Information	
Extension of Time	e Request	Power of At Change of 0	torney, Revocation Correspondence Address	Status Letter	
Express Abando	nment Request	Terminal D	isclaimer	Other Enclosure(s) (please identify below):	
Information Disclosure Statement Request for		Request for	Refund	Japanese Office Action; 3 prior art references; return	
PTO/SB/08		CD, Numbe	er of CD(s)	postcard	
Certified Copy of Priority Document(s)		Landso	cape Table on CD		
Response to Mis	ssing Parts/ cation	Remarks			
=	Filing Fee		J		
	aration/POA				
Parts und 1.52 or 1.5	e to Missing er 37 CFR 53				
	SIGNATURE	OF APPLICAN	IT, ATTORNEY, OR A	GENT	
Firm Eric S. Hyman, Reg. No. 30,			139		
Individual name BLAKELY, SOKOLOFF,			TAYLQR & ZAF	MAN LLP	
Signature					
Date U (11dar					
CERTIFICATE OF MAILING/TRANSMISSION					
				vice on the date shown below with sufficient 1450, Alexandria, VA 22313-1450.	
Typed or printed na	ame Melissa Stea	i			
Signature	Melis	su Al	lef	Date 1-23-0}	

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ÉE TRANSMITTAL for FY 2005

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Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

Complete if Known

Application Number 10/747,933

Filing Date December 29, 2003

First Named Inventor Kwang Ryong Oh

Examiner Name

Art Unit

Attorney Docket No. 5882P071

METHOD OF PAYMENT (check all that apply)								
Check Credit card Money Order None Other (please identify):								
Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP								
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee								
Charge any additional fee(s) or underpayment of fee(s) Credit any overpayments under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.								
FEE CALCUL	ATION							
Large En	tity	Small	Entity					
Fee	Fee	Fee	Fee	Fee Description	Fee Paid			
Code	(\$)	Code	(\$)	ree Description	ree Paid			
1051	130	2051	65	Surcharge - late filing fee or oath				
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.				
2053	130	2053	130	Non-English specification				
1251	120	2251	60	Extension for reply within first month				
1252	450	2252	225	Extension for reply within second month				
1253	1,020	2253	510	Extension for reply within third month				
1254	1,590	2254	795	Extension for reply within fourth month				
1255	2,160	2255	1,080	Extension for reply within fifth month				
1401	500	2401	250	Notice of Appeal				
1402	500	2402	250	Filing a brief in support of an appeal				
1403	1,000	2403	500	Request for oral hearing				
1451	1,510	2451	1,510	Petition to institute a public use proceeding				
1460	130	2460	130	Petitions to the Commissioner				
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)				
1806	180	1806	180	Submission of Information Disclosure Stmt				
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))				
1810	790	2810	395	95 For each additional invention to be examined (37 CFR § 1.129(b))				
Other fe	e (spe	cify) _						
	-	- *		SUBTOTAL (2) (\$				



Docket No.: 005882.P071

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kwang Ryong Oh, et al.

Application No.: 10/747,933

Filed: December 29, 2003

For:

OPTO-ELECTRONIC DEVICE WITH AN

INTEGRATED LIGHT DEFLECTOR AND WAVELENGTH TUNABLE EXTERNAL CAVITY LASER USING

THE SAME

Examiner:

Art Group:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

In accordance with the duty of disclosure, enclosed is a copy of the IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of the final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

It is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a patent office in a counterpart application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual associated with the filing or

prosecution of the subject application more than three months prior to the filing of the Information Disclosure Statement.

The references were cited in a Search Report (copy enclosed herewith) in a counterpart Japanese application, which was forwarded to Applicant's Representative in a communication dated November 4, 2005.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material patentability.

Please charge any additional fee due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Eric Hyman
Reg. No. 30,139

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the

12400 Wilshire Boulevard, Seventh Floor Los Angeles, California 90025 (310) 207-3800

United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on: 1-23-40

Melissa Stead

Date

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Systitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Sheet 1 of

	• • •	Complete if Known	
	Application Number	10/747,933	
	Filing Date	December 29, 2003	
	First Named Inventor	Kwang Ryong Oh	
	Art Unit		
_	Examiner Name		
	Attorney Docket Number	5882P071	

U.S. PATENT DOCUMENTS							
Examiner Cite		Document Number	Publication Date		Pages, Columns, Lines, Where		
Initials*	Cite No. ¹	Number - Kind Code ² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
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FOREIGN PATENT DOCUMENTS							
Examiner Cite No.1	Cite	Foreign Patent Document			Pages Columns Lines		
	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Tf		
		JP 2003-198056	07-11-2003	Korea Electronics Telecommunication		B	dy
		JP 57-027086	02-13-1982	Toshiba Corp		Mo	4
		JP 2000-286503	10-13-2000	Anritsu Corp		26	낡
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Examiner	 Date	
Signature	Considere	d

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). *For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wtr) 08/11/2003.